SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>McGhee Lynne P</u>				2. Issuer Name and Ticker or Trading Symbol <u>CALIFORNIA WATER SERVICE GROUP</u> [CWT]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify							
(Last) (First) (Middle) CALIFORNIA WATER SERVICE COMPANY						f Earliest Transacti 2021	on (Mont	h/Day	/Year)		VP, General Counsel							
1720 N. FIRST STREET						4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) SAN JOSE CA 95112												Form file	d by More	than One Repo	rting Person			
(City)	(State)	(Z	ip)															
		Та	able I - No	n-Dei	rivative S	ecurities Acq	uired,	Disp	osed of, c	or Benef	icially Ow	ned						
Da			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			ind 5) Securities Beneficial Following		6. Ownership Form: Direct (I or Indirect (I) (Instr. 4)	Beneficial Ownership				
							Code V		Amount	(A) or (D) Pr		 Transaction(s) (Instr. 3 and 4) 			(Instr. 4)			
Common Stock 03					05/2021		F		72 ⁽¹⁾	D	\$52.96	25,086.36		D				
Common Stock 03/				03/0	06/2021		F		96 ⁽²⁾	D	\$52.96	24,990.36		D				
Common Stock 03					06/2021		Α		2,853(3)	A	\$0.00	27,843.36		D				
Common Stock 03/0					06/2021		F		1,556(4)	D	\$52.96	26,28	7.36	D				
						curities Acqui lls, warrants, o	,		,			ed						
1. Title of	2.	3. Transaction	3A. Deemed		4.	5. Number of	6. Date	6. Date Exercisable and 7. Title and Amo		Amount of	8. Price of	9. Numbe	r of 10.	11. Nature				

	2. Conversion or Exercise Price of Derivative Security				5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	Ownership Form:	Beneficial Ownership
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock (RSA) Award #485.

Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock (RSA) Award #440.
 On 3/6/2018, the reporting person was granted a Performance Stock Unit (PSU) of 1,954 shares of common stock. The PSU vested in full based on satisfaction of certain performance criteria approved by

the Board of Directors. The performance criteria was met resulting in 146% payout of the original goal. 4. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Performance Stock Unit (PSU) Award

 Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Performance Stock Unit (PSU) Award #452.

Remarks:

/s/ Michelle Mortensen on behalf of Lynne P. McGhee ** Signature of Reporting Person

03/08/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.