SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Healey David B</u>						2. Issuer Name and Ticker or Trading Symbol <u>CALIFORNIA WATER SERVICE GROUP</u> [CWT]								Reporting F le) ive title	Person(s) to Issue 10% C Other below	Owner (specify				
(Last) (First) (Middle) C/O CALIFORNIA WATER SERVICE COMPANY						3. Date of Earliest Transaction (Month/Day/Year) 03/05/2021								below) below) VP, Controller						
1720 NORTH FIRST STREET						4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person							
(Street)															than One Report	ng Person				
SAN JOSE CA 95112																				
(City)	(State)	(Zi	p)																	
		Та	ble I - No	n-Deri	ivative S	ecurities Acq	uired,	Disp	osed of	, or	Benefi	cially Ow	ned							
Date					saction /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	ion Date, Transaction Code (Instr.		4. Securiti Disposed				5. Amount Securities Beneficiall Following	y Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership				
							Code	v	Amount		(A) or (D)	Price	- Transactio (Instr. 3 an			(Instr. 4)				
Common Stock 03/0					5/2021		F		68 ⁽¹⁾		D	\$52.96	15,0	084	D					
Common Stock 03/0					6/2021		F		91 ⁽²⁾		D	\$52.96	14,9	993	D					
Common Stock 03/0					6/2021		Α		2,853 ⁽³⁾		Α	\$0.00	17,846		D					
Common Stock 03/0							F		1,475	1,475 ⁽⁴⁾ D \$		\$52.96	16,3	371	D					
						curities Acqui ls, warrants, o							ed							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	Execution Date, T		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3. 4	Expirat (Month	6. Date Exercisable and Expiration Date (Month/Day/Year)		Securities Underl		derlying curity	8. Price of Derivative Security (Instr. 5) Beneficia Owned Following		e Ownership s Form: illy Direct (D) or Indirect	Beneficial Ownership (Instr. 4)				

ocounty (mon. o)	Price of Derivative Security	(nonineay) (ca)	(Month/Day/Year)	· /		Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		(monta/bay/real)		(Instr. 3 and 4)		(Instr. 5)	Beneficially Owned Following Reported Transaction(s)	Direct (D) or Indirect (I) (Instr. 4)	Owne (Instr.
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		

Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock (RSA) Award #481.

Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock (RSA) Award #436.
 On 3/6/2018, the reporting person was granted a Performance Stock Unit (PSU) of 1,954 shares of common stock. The PSU vested in full based on satisfaction of certain performance criteria approved by

the Board of Directors. The performance criteria was met resulting in 146% payout of the original goal.

4. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Performance Stock Unit (PSU) Award #449.

Remarks:

/s/ Michelle Mortensen on behalf of David B. Healey ** Signature of Reporting Person

03/08/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.