SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> MAGNUSON RICHARD P						2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP [ CWT ]									ationship of F all applicab Director Officer (o	le)	Person(	(s) to Issuer 10% Ov Other (s	wner
(Last) (First) (Middle) C/O CALIFORNIA WATER SERVICE GROUP						3. Date of Earliest Transaction (Month/Day/Year) 03/02/2021									below)	ive due		below)	speeny
1720 NORTH FIRST STREET						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) SAN JOSE CA 95112															Form file	d by More	than C	ne Reportir	ig Person
(City)	(State)	(Zi	ip)																
		Та	able I - No	n-Der	ivativ	e S	ecuritie	s Acq	uired,	Disp	osed of	, or E	Benefi	cially Ov	/ned				
Date					2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)				4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				Securities Beneficiall Following	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		mership : Direct (D) lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
										v	Amount		(A) or (D)	Price	(Instr. 3 an				(1150.4)
Common Stock 03/0						3/02/2021			Α		1,529(1)		Α	\$0.00	54,763			D	
Common Stock 03/0					3/02/2021				J		56 A		\$ <mark>0</mark>	<b>3,326</b> <sup>(2)</sup>			I	By Mother	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3) Security (Instr. 3) Derivative Security S			ate,	4. Transaction Code (Instr. ) 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerci Expiration Da (Month/Day/Y		te	Secu Deriv	7. Title and Amou Securities Under! Derivative Securit (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitier Beneficia Owned Following Reported Transacti (Instr. 4)	e s ally g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

Explanation of Responses:

1. Restricted stock granted 03/02/2021 pursuant to the California Water Service Group equity incentive plan in a transaction exempt under Rule 16-b-3. RSA vests with a one-year cliff vesting term, vesting 100% on the first anniversary of the grant date. Includes dividend reinvestment.

2. The reporting person has investment power over these securities, which are held in an account for the reporting person's mother.

## Remarks:

<u>/s/ Michelle Mortensen on</u> <u>behalf of Richard Magnuson</u> \*\* Signature of Reporting Person

03/03/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.