SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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-	Check this box if no longer subject to
	Section 16. Form 4 or Form 5 obligations
	may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr	2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP [CWT]								all applicab Director	le)	Person(s)	rson(s) to Issuer 10% Owner					
(Last) (First) (Middle) C/O CALIFORNIA WATER SERVICE COMPANY 1720 NORTH FIRST STREET					3. Date of Earliest Transaction (Month/Day/Year) 01/04/2021							- ×	Officer (give title below) VP, Cc		Other (specify below) ontroller		specity
					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) SAN JOSE CA 95112												Form file	d by More	than One	Reportin	g Person	
(City)	(State)	(Zi	p)														
		Та	ble I - Nor	n-Deri	vative Se	curities Acq	uired, l	Disp	osed of,	, or l	Benefic	ially Ow	ned				
Date					n/Day/Year)	A. Deemed Execution Date, any Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				Securities Beneficiall Following	Beneficially Owned Following Reported		ct (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
						Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Common Stock 01/0					4/2021		Α		494(1	1)	Α	\$0.00	\$0.00 14,100		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	tise (Month/Day/Year) if any (Month/Day/Year) ve		ate, T	4. Fransaction Code (Instr. 3)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date I Expirati (Month/	on Dat	Date Secur /Year) Deriva		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5) 8. Price of derivative Securities Beneficia Owned Following Reported		e Ov s Fo lly Di or	wnership orm: rect (D) Indirect (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:

1. Restricted stock granted 01/04/2021 pursuant to the California Water Service Group equity incentive plan in a transaction exempt under Rule 16-b-3. RSA vests with a one-year cliff vesting term, vesting 100% on the first anniversary of the grant date. Includes dividend reinvestment.

(D)

Date

Exercisable

Expiration Date

Title

Remarks:

/s/ Michelle Mortensen on

behalf of David B. Healey ** Signature of Reporting Person

Amount Number of Shares

01/06/2021

Transaction(s) (Instr. 4)

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v (A)

Code