SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Smegal Thomas F III (Last) (First) (Middle)						2. Issuer Name and Ticker or Trading Symbol     CALIFORNIA WATER SERVICE GROUP [     CWT ]     3. Date of Earliest Transaction (Month/Day/Year)     09/05/2020									all applicab Director Officer (g below)	ive title	Person(s) to Issuer 10% Owner Other (specify below) & Treasurer		
CALIFORNIA WATER SERVICE COMPANY 1720 NORTH FIRST STREET					_	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) SAN JOSE CA 95112															Form file	d by More	than One Rep	orting	g Person
(City)	(State)	(Zi	p)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					Transaction ate Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(1150.4)
Common Stock 09/0					9/05/2020				F		62(1)		D	\$45.88	40,865		D		
Common Stock 09/0					06/2020				F		<b>83</b> <sup>(2)</sup>		D	\$45.88	40,782		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	rivative curity (Instr. 3) Conversion Date (Month/Day/Year) Price of Derivative Security Security				or Disp (D) (Ins and 5)		ive ies ed (A) osed of	6. Date Exerc Expiration D (Month/Day/) Date Exercisable		e Sec ear) Der (Ins Expiration		7. Title and Amour Securities Underly Derivative Security (Instr. 3 and 4) Amo or Num Title of S		8. Price of Derivative Security (Instr. 5) Benefi Ownee Follow Report Transz (Instr.		e Owner s Form: illy Direct or Indi g (I) (Ins	D) ect	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

## Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock (RSA) Award #489.

2. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock (RSA) Award #444. Remarks:

> /s/ Michelle Mortensen on 09/08/2020 behalf of Thomas F. Smegal III

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

Date