SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Healey David B						2. Issuer Name and Ticker or Trading Symbol <u>CALIFORNIA WATER SERVICE GROUP</u> [CWT]									tionship of F all applicab Director Officer (g below)	le)	Ot	% Ow ier (s	ner pecify
(Last) (First) (Middle) C/O CALIFORNIA WATER SERVICE COMPANY						3. Date of Earliest Transaction (Month/Day/Year) 03/05/2020									below) below) VP, Controller				
1720 NORTH FIRST STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person 					
(Street)															Form filed by More than One Reporting Person				g Person
SAN JOSE CA 95112																			
(City)	(State)	(Zi	p)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					Transaction ate lonth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securit Disposed	ities Acquired (A) or d Of (D) (Instr. 3, 4 a		A) or , 4 and 5)	Securities Beneficiall Following	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		(D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(1150.4)
Common Stock 03/0					3/05/2020				F		249(1)		D	\$53.99	16,123		D		
Common Stock 03/0					06/2020				F		83 ⁽²⁾		D	\$54.37	16,040		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	tive Conversion Date Execution Date, ity (Instr. 3) or Exercise (Month/Day/Year) if any		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisal Expiration Date (Month/Day/Year		te	e Securities Ur ar) Derivative Se (Instr. 3 and 4		derlying curity	8. Price of Derivative Security (Instr. 5) 9. Numb Security Benefici Owned Followin Reporte Transac (Instr. 4)		re Ownership Form: ally Direct (D) or Indirect d tion(s)		11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock (RSA) Award #481.

2. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock (RSA) Award #436. Remarks:

> /s/ Michelle Mortensen on behalf of David B. Healey ** Signature of Reporting Person

03/09/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

Date