SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Healey David B					2. Issuer Name and Ticker or Trading Symbol <u>CALIFORNIA WATER SERVICE GROUP</u> [CWT]								tionship of F all applicab Director Officer (g	le)	Person(s) to Issue 10% C Other	wner		
(Last) (First) (Middle) C/O CALIFORNIA WATER SERVICE COMPANY						3. Date of Earliest Transaction (Month/Day/Year) 03/03/2020								VP, C	below) ontroller			
1720 NORTH FIRST STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) SAN JOSE CA 95112													Form filed by More t		than One Reporti	ıg Person		
(City)	(State)	(Zi	p)															
		Та	ble I - Nor	n-Deriv	vative Se	ecurities Acq	uired, I	Disp	osed of	, or I	Benefic	ially Ow	ned					
Date						2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			5. Amount Securities Beneficiall Following	y Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
							Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			(Instr. 4)		
Common Stock 03/0					3/2020		Α		1,397(1)		Α	\$0.00	16,372		D			
				D	ivo Soo	urities Acqui		eno	sed of a	r Re	noficia							
						s, warrants, c												

		Derivative Security				or Disposed of (D) (Instr. 3, 4 and 5)							or Indirect (I) (Instr. 4)	
			Code	v	(A)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	(Instr. 4)			

Explanation of Responses:

1. Restricted stock granted 3/3/2020 pursuant to the California Water Service Group equity incentive plan in a transaction exempt under Rule 16-b-3. RSA vests with one-third on March 3, 2021, with the remaining 2/3 vesting quarterly over the succeeding 24 months.

Remarks:

/s/ Michelle Mortensen on

behalf of David B. Healey ** Signature of Reporting Person 03/05/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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