SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* NELSON PETER C					2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP [CWT]							ck all applica	ble)	erson(s) to Issuer 10% O	wner
(Last) (First) (Middle) C/O CALIFORNIA WATER SERVICE GROUP					3. Date of Earliest Transaction (Month/Day/Year) 11/15/2019							Officer (below)	give title	Other (below)	specify
1720 NORTH FIRST STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person			
(Street)												Form fil	ed by More	than One Reporti	ng Person
SAN JOSE	CA	95	95112												
(City)	(State)	(Zi	p)												
		Та	ble I - No	on-Deri	ivative	Securities A	cquir	ed, D	isposed of,	or Ben	eficially C	wned			
1. Title of Security (Instr. 3) 2. Transac Date (Month/Da				y/Year)	Execution Date,		action (Instr.	4. Securities Acquired (A) or Dis Of (D) (Instr. 3, 4 and 5)			posed 5. Amount Securities Beneficially Following Transactio		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D)	Price	(Instr. 3 a			(Instr. 4)	
Common Stock 11/15/2				2019		S		10,000	D	\$49.5626	(1) 14	,283	D		
						ecurities Acq alls, warrants		· ·	,			ned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution I if any	Date, 1	4. Transactic Code (Inst		Ex	piration	ercisable and Date y/Year)		d Amount of S Underlying Security	8. Price of Derivative Security	9. Numbe derivative Securities	Ownership	11. Nature of Indirect Beneficial

Explanation of Responses:

1. This transaction was executed in multiple trades at prices ranging from \$49.37 to \$49.79. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(D)

Date

Exercisable

Remarks:

Michelle Mortensen

Expiration Date

Title

** Signature of Reporting Person

Amount

Number of Shares

11/19/2019

Transaction(s) (Instr. 4)

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v (A)

Code