SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>McGhee Lynne P</u>						2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP							tionship of Re all applicable Director Officer (giv	e)	ting Person(s) to Issuer 10% Owner le Other (specify		
(Last) (First) (Middle) CALIFORNIA WATER SERVICE COMPANY						3. Date of Earliest Transaction (Month/Day/Year) 03/05/2019							below) VP, General		below	below)	
1720 N. FIRST STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) SAN JOSE CA 95112													Form filed	by More	than One Report	ng Person	
(City)	(State)	(Zi															
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																
1. Title of Security (Instr. 3) 2. Tran Date (Month					saction Day/Year) (Month/Day/Year)				4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							Code V		Amount (A) or (D)		A) or D)	Price	(Instr. 3 and 4)			(Instr. 4)	
Common Stock 03/0					5/2019		A		1,457(1	I)	Α	\$52.83	22,806	6.36	D		
Common Stock 03/0					6/2019		F 329 <sup>(2</sup>		329(2)	D \$52		\$52.05	22,477.36		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																	
				ate, T	ransaction ode (Instr. Securities 6. Date Exercisable and 7. Title and Amo Securities (Month/Day/Year) 7. Title and Amo Securities Under Derivative Securities Under				derlying	Derivative	9. Numbe derivative Securities	Ownership	11. Nature of Indirect Beneficial				

Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		(Month/Day/Year)		Derivative Security (Instr. 3 and 4)		Security (Instr. 5)	Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	- Transaction(s) (Instr. 4)				

## Explanation of Responses:

1. Restricted stock granted 3/5/2019 pursuant to the California Water Service Group equity incentive plan in a transaction exempt under Rule 16-b-3. RSA vests with one-third on March 5, 2020, with the remaining 2/3 vesting quarterly over the succeeding 24 months.

2. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock (RSA) Award #440. Remarks:

Represents the number of shares acquired through Dividend Reinvestment for May 2018, August 2018, November 2018 and February 2019.

<u>/s/ Michelle Mortensen on</u> behalf of Lynne P. McGhee	<u>03/07/2019</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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