SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Healey David B						2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP						eck all applic Directo	able)	Person(s) to Issue 10% C Other	
(Last) (First) (Middle) C/O CALIFORNIA WATER SERVICE COMPANY					3. Date of Earliest Transaction (Month/Day/Year) 09/13/2018							X below)		below)	
1720 NORTH FIRST STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person			
(Street)					1							Form f	led by More	than One Reporti	ng Person
SAN JOSE	CA	95	5112												-
(City)	(State)	(Zi	p)												
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned															
1. Title of Security (Instr. 3) 2. Transac Date (Month/Da					2A. Deemed Execution Date, if any (Month/Day/Year)		saction (Instr.	4. Securities Acquired (A) or Di Of (D) (Instr. 3, 4 and 5)		A) or Dispose	Securit Benefic Followi	es ially Owned ng Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D)	Price	Transad (Instr. 3			(Instr. 4)	
Common Stock 09/13/2					2018		S		1,000	D	\$41.756	3 <sup>(1)</sup> 14	1,492	D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	e Conversion Date Execution Date		n Date,	4. Transactio Code (Ins 8)		) of	xpiratio	tercisable and n Date ay/Year)	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price o Derivative Security (Instr. 5)		e Ownership s Form: lly Direct (D) or Indirect g (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	

Explanation of Responses:

1. This transaction was executed in multiple trades at prices ranging from \$41.6500 to \$41.85. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(D)

Date

Exercisable

Expiration Date

Title

Remarks:

## /s/ Michelle Mortensen on behalf of David B. Healey

\*\* Signature of Reporting Person

Amount or Number of Shares

09/14/2018

(Instr. 4)

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

V (A)

Code