SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Smegal Thomas F III					2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP [ CWT ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) CALIFORNIA	(First)	3. Date of	3. Date of Earliest Transaction (Month/Day/Year) 03/03/2018							X Officer (give title Other (specify below) below) VP, CFO & Treasurer							
1720 NORTH FIRST STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) SAN JOSE CA 95112												Form file	d by More	than One Repor	ing Person		
(City)	(State)	(Zi	p)														
		Та	ble I - Non-	Derivative S	ecurities Acq	uired,	Disp	osed of,	or I	Benefi	cially Ow	ned					
Date				2. Transaction Date Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a						6. Ownership Form: Direct (D or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)			(Instr. 4)					
Common Stock 03/0						F		<b>31</b> <sup>(1)</sup>		D	\$36.4	32,688		D			
Common Stock 03/0						A		3,498(2)		Α	\$36.4	36,186		D			
Common Stock 03/0						F	1,592 <sup>(3)</sup> D		\$36.4	34,594		D					
					urities Acqui ls, warrants, d							ed					
1. Title of Derivative	2. Conversion	3. Transaction Date	3A. Deemed Execution Date	e, Transaction	5. Number of Derivative	6. Date Exercisable and Expiration Date 7. Title and Amo Securities Under				8. Price of Derivative	9. Number derivative		p 11. Nature of Indirect				

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)		Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	Securities Beneficially Owned Following Reported	Direct (D)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #342.

2. On 3/3/2015, the reporting person was granted a Restricted Stock Unit (RSU) of 2,446 shares of common stock. The RSU vested in full based on satisfaction of certain performance criteria approved by the Board of Directors. The performance criteria was met resulting in 143% payout of the original goal.

3. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Unit (RSU) Award #353. Remarks:

/s/ Michelle Mortensen on 03/05/2018

 behalf of Thomas F. Smegal III
 03/C

 \*\* Signature of Reporting Person
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.