SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr Smegal Th		2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP							tionship of F all applicab Director Officer (g	le)	Person(s) to Issuer 10% Owner Other (specify					
(Last) (First) (Middle) CALIFORNIA WATER SERVICE COMPANY					3. Date of Earliest Transaction (Month/Day/Year) 02/28/2018							X Officer (give true below) below) VP, CFO & Treasurer				
1720 NORTH FIRST STREET				4. If Amen	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) SAN JOSE CA 95112 (City) (State) (Zip)				_								Form file	d by More	than One Reporti	ng Person	
	(State)			Derivative Se	curities Acqu	uired. I	Disp	osed of	orl	Benefic	ially Ow	med				
1. Title of Security (Instr. 3) 2. Tran Date				. Transaction ate Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a) or	5. Amount		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							v	Amount		(A) or (D)	Price	(Instr. 3 and 4)			(1150.4)	
Common Stock 02/2				02/28/2018		F		381 ⁽¹)	D	\$37.95	32,849		D		
Common Stock 03/0				03/01/2018		F		130 ⁽²	:)	D	\$37.95	32,719		D		
					urities Acquir s, warrants, o							ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code (Instr.	5. Number of Derivative Securities	Expiration Date Securit			itle and Ar urities Un vative Sec	derlying			Ownership	11. Nature of Indirect Beneficial		

Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #403.

(D)

Date

Exercisable

Expiration Date

Title

2. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #366.

Remarks:

/s/ Michelle Mortensen on 03/02/2018 behalf of Thomas F. Smegal III

** Signature of Reporting Person

Amount or Number

of Shares

Transaction(s) (Instr. 4)

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v

(A)

Code

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations