SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup>						2. Issuer Name and Ticker or Trading Symbol <u>CALIFORNIA WATER SERVICE GROUP</u> [ CWT ]								tionship of F all applicat Director Officer (c	ble)	erson(s) to Issuer 10% Ov Other (s		
(Last) (First) (Middle) C/O CALIFORNIA WATER SERVICE GROUP					3. Date of Earliest Transaction (Month/Day/Year) 01/11/2018									below)			below)	
1720 NORTH FIRST STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv X	<ul> <li>B. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> </ul>				
(Street) SAN JOSE	CA		5112										Form file	ed by More	than O	ne Reportin	g Person	
(City)	(State)	(Zij	p)															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
Date					ate Execution Ionth/Day/Year) if any		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
							Code	v	Amount (A) or (D) Pri		Price	<ul> <li>Transaction(s) (Instr. 3 and 4)</li> </ul>				(instr. 4)		
Common Stock 01/1					11/2018			Р		2.333	7	Α	\$42.85	42.85 39,873.9821			D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion Date (Month/Day/Year) 3A. Deemed Execution Date (Month/Day/Year) (Month/Day/Year) (Month/Day/Year)		ate,	4. Transaction Code (Instr. 8)	De Se Ac or (D	Number of rivative curities quired (A) Disposed of ) (Instr. 3, 4 d 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amou Securities Underly Derivative Securit (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	s Ily I	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	

Date Exercisable Expiration Date

Title

Explanation of Responses:

Remarks:

Michelle Mortensen \*\* Signature of Reporting Person

or Number of Shares

> 01/12/2018 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code V

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

(D)