SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * Healey David B					2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP								[(Chec	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) VP, Controller				
(Last) (First) (Middle) C/O CALIFORNIA WATER SERVICE COMPANY				3. Date of Earliest Transaction (Month/Day/Year) 12/01/2017							┤^							
1720 NORTH FIRST STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street)													Form filed by More than One Reporting Person					
SAN JOSE	CA	95112																
(City)	(State)	(Zi	p)															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
Date				2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)				ecurities Acquired (A) or posed Of (D) (Instr. 3, 4 a			5. Amount Securities Beneficial Following	y Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership		
								Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)			(Instr. 4)	
Common Stock 12/0					01/2017	7		F		134(1)	D	\$45	13,126		D		
Common Stock 12/0					/03/2017			F		36 ⁽²⁾		D	\$45	13,090		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Ye	ate,	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amou Securities Under Derivative Securi (Instr. 3 and 4)		nderlying curity 4)	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction	e Ownersh s Form: Ily Direct (D or Indire (I) (Instr.	Beneficial Ownership t (Instr. 4)	
				F									Amount	1	Transacti (Instr. 4)	on(s)		

Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #357.

(D)

Date

Exercisable

Expiration Date

Title

2. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #336.

Remarks:

<u>/s/ Michelle Mortensen on</u> <u>behalf of David B. Healey</u> ** Signature of Reporting Person

or Number

of Shares

12/05/2017

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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Code

(A)