SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>McGhee Lynne P</u> | | | | | 2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP [CWT] | | | | | | | | | | tionship of F all applicat Director Officer (g | ole) | Person(s) to Issue 10% (Other | |
|--|--|-----|------|--------------|--|--|---|--|--------------------------------|---|--------------------------|--|---------------|---|--|--|--|---|
| (Last) (First) (Middle) CALIFORNIA WATER SERVICE COMPANY | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/03/2016 | | | | | | | | | below) below) VP, General Counsel | | | | |
| 1720 N. FIRST STREET | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Indiv X | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | |
| (Street) | | | | | | | | | | | | | | Form filed by More than One Reporting Person | | | | |
| SAN JOSE | N JOSE CA 95112 | | | | | | | | | | | | | | | | | |
| (City) | (State) | (Zi | p) | | | | | | | | | | | | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | |
| Date | | | | | 2. Transaction Date (Month/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | ate, | 3.4.TransactionDCode (Instr.8) | | 4. Securit Disposed | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a | | A) or 5, 4 and 5) | 5. Amount Securities Beneficial Following | ly Owned Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership |
| | | | | | | | | | Code | v | Amount | | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | (Instr. 4) |
| Common Stock 12/0 | | | | | | 5 | | | F | | 25 ⁽¹⁾ | | D | \$34.35 | 18, | 888 | D | |
| Common Stock 12/0 | | | | | | 12/04/2016 | | | F | | 27 ⁽²⁾ | | D | \$34.35 | 18,861 | | D | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | e Conversion Date Execution Date | | ate, | Code (Instr. | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | Sec Deri | 7. Title and Amoun Securities Underlyi Derivative Security (Instr. 3 and 4) | | g Derivative Security (Instr. 5) | 9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4) | ly Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |

Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #339.

(D)

Date

Exercisable

Expiration Date

Title

2. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #282.

Remarks:



or Number

of Shares

Date

12/06/2016

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v

Code

(A)