SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Last)   (First)   (Middle)     C/O CALIFORNIA WATER SERVICE GROUP   3. Date of Earliest Transaction (Month/Day/Year)   Retired Office     1/20 NORTH FIRST STREET   4. If Amendment, Date of Original Filed (Month/Day/Year)   6. Individual or Joint/Group Filing (C     (Street)   SAN JOSE   CA   95112     (City)   (State)   (Zip)   Form filed by One Report     Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned, if any	C/O CALIFO 1720 NORTH Street) SAN JOSE	RNIA WATER S H FIRST STREE	ERVICE GROUP		11/03/2	2016		h/Day	Year)			below)		below	)			
(Street)   SAN JOSE   CA   95112   V   Form filed by More than C     (City)   (State)   (Zip)   V   San Jose	Street) SAN JOSE				4. If Ame	ndment, Date of Or	iginal File							below) Retired Officer				
SAN JOSE   CA   95112     (City)   (State)   (Zip)     Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned     1. Title of Security (Instr. 3)   2. Transaction Date (Month/Day/Year)   2A. Deemed Execution Date, if any (Month/Day/Year)   3. Transaction Code (Instr. 8)   4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)   5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)   6. Ov Form     Common Stock   11/03/2016   F   25 <sup>(1)</sup> D   \$30.77   38,860   5	SAN JOSE	CA	95112		4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person						
(City) (State) (Zip)     Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned     1. Title of Security (Instr. 3)      2. Transaction Date (Month/Day/Year)       2A. Deemed Execution Date, if any (Month/Day/Year)       3. Transaction Code (Instr. 3)       4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)     Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)       5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)       Socurities Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)       Socurities Socurities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)       Socurities Socurities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)       Socurities		CA	95112									Form filed by More than One Reporting Person						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned     1. Title of Security (Instr. 3)   2. Transaction Date (Month/Day/Year)   2A. Deemed Execution Date, if any (Month/Day/Year)   3. Transaction Code (Instr. 8)   4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)   5. Amount of Securities Beneficially Owned Form or Incode (Instr. 8)     Common Stock   11/03/2016   F   25(1)   D   \$30.77   38,860	(City)			SAN JOSE CA 95112														
1. Title of Security (Instr. 3)   2. Transaction Date (Month/Day/Year)   2A. Deemed Execution Date, if any (Month/Day/Year)   3. Transaction Code (Instr. 8)   4. Securities Acquired (A) or Ocde (Instr. 8)   5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)   6. Over the point of t		(State)	(Zip)															
Date (Month/Day/Year) Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction (S) Disposed Of (D) (Instr. 3, 4 and 5) Securities Beneficially Owned Following Reported Transaction(5) (Instr. 3 and 4) Form or Incl (Instr. Securities   Code V Amount (A) or (D) Price Securities Beneficially Owned Transaction(5) (Instr. 3 and 4) Form or Incl (Instr. Securities   Common Stock 11/03/2016 F Image: Securities Securities Securities			Table I - N	on-Der	ivative S	ecurities Acq	uired, I	Disp	osed of,	or Bene	icially Ov	vned						
Code     V     Amount     (A) or (D)     Price     (Instr. 3 and 4)       Common Stock     11/03/2016     F     V     25 <sup>(1)</sup> D     \$30.7     38,860     V	Date					Execution Date, if any	Transaction Dis Code (Instr.					Securities Beneficial Following	y Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
							Code	v	Amount	(A) or (D)	Price				(Instr. 4)			
Common Stock 11/04/2016 E 27 <sup>(2)</sup> D \$30.3 38.833	Common Stock 11/0				03/2016		F		25(1)	D	\$30.7	38,860		D				
	Common Stock 11/0				04/2016		F		27(2)	D	\$30.3	38,833		D				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned       (e.g., puts, calls, warrants, options, convertible securities)			Table II									ed						
1. Title of Derivative Security (Instr. 3)   2. Conversion Price of Derivative Security   3. Transaction Date (Month/Day/Year)   3. A. Deemed Execution Date, if any (Month/Day/Year)   4. Transaction Code (Instr. 8)   5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)   6. Date Exercisable and Expiration Date (Month/Day/Year)   7. Title and Amount of Securities Underlying Derivative Security (Instr. 3) and 4)   8. Price of Derivative Security (Instr. 3)   9. Number of derivative Security (Instr. 4)		tive ty (Instr. 3) Conversion Date (Month/Day/Year) if any (Month/Day/Year) Derivative		Date,	Transaction Code (Instr.	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4	Expiration Date		Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5) F	derivative Securities Beneficia Owned	e Ownershi s Form: ally Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)				

Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #335.

(D)

Date

Exercisable

Expiration Date

Title

2. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #278.

## Remarks:

/s/ Michelle Mortensen on behalf of Francis S. Ferraro \*\* Signature of Reporting Person

or Number

of Shares

11/07/2016

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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Code

(A)