SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * Rossi Michael J					2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP [CWT]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title X Other (specify					
(Last) (First) (Middle) C/O CALIFORNIA WATER SERVICE GROUP					3. Date of Earliest Transaction (Month/Day/Year) 10/03/2016							below) A below) Retired Vice President					
1720 NORTH FIRST STREET				4. If Amer	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) SAN JOSE	CA	95	5112									Form file	d by More	than O	ne Reportin	g Person	
(City)	(State)	(Zi	p)														
		Та	ble I - Non-	Derivative S	ecurities Acq	uired, I	Disp	osed of,	or E	Benefi	cially Ow	ned					
Date					2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				nd 5) Securities Beneficially Following F		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
			(Month/Day/Year)	if any (Month/Day/Year)			Disposed	01(0)	, (Beneficial Following	Reported	or Ind	irect (I)	Beneficial Ownership	
			(Month/Day/Year)	if any (Month/Day/Year)			Amount		(A) or (D)	Price	Beneficial	Reported n(s)	or Ind	irect (I)	Beneficial	
Common Stor	:k			Month/Day/Year)	if any (Month/Day/Year)	8)	istr.			(A) or		Beneficiall Following Transactio	Reported on(s) od 4)	or Ind	irect (I)	Beneficial Ownership	
Common Stor					if any (Month/Day/Year)	8) Code	istr.	Amount		(A) or (D)	Price	Beneficiall Following Transactio (Instr. 3 an	Reported on(s) d 4) 170	or Ind	lirect (I) . 4)	Beneficial Ownership	
			Table II - De	10/03/2016 10/04/2016 erivative Sec	if any (Month/Day/Year) urities Acquir s, warrants, c	8) Code F F red, Dis	v spos	Amount 25 ⁽¹⁾ 27 ⁽²⁾ sed of, of	r Be	(A) or (D) D D neficia	Price \$31.76 \$30.96	Beneficiall Following Transactio (Instr. 3 an 31, 1 31, 1	Reported on(s) d 4) 170	or Ind	Lirect (I)	Beneficial Ownership	

Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #341.

(D)

Date

Exercisable

Expiration Date

Title

2. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #283.

Remarks:

/s/ Michelle Mortensen on behalf of Michael J. Rossi ** Signature of Reporting Person

Amount or Number

of Shares

10/05/2016

Transaction(s) (Instr. 4)

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v

(A)

Code