SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Rossi Michael J						2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP [ CWT ]							tionship of F all applicab Director Officer (c	le)		uer Owner ır (specify	
(Last) (First) (Middle) C/O CALIFORNIA WATER SERVICE GROUP				3. Date of Earliest Transaction (Month/Day/Year) 08/03/2016							_ ×	VP, Continuous Improvement			w)		
1720 NORTH FIRST STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X	<ol> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> </ol>				
(Street) SAN JOSE CA 95112												Form file	d by More	than One Repo	rting Person		
(City)	(State)	(Zi	p)														
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
Date				saction /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				nd 5) Securities Beneficial Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership		
							Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			(Instr. 4)	
Common Stock 0				08/0	3/2016		F		25 <sup>(1)</sup>		D	\$32.56	31,2	274	D		
Common Stock 08/0					4/2016		F		<b>27</b> <sup>(2)</sup>		D	\$32.33	.33 31,247		D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)	ivative Conversion Date Execution Date,		) c	ransaction Code (Instr.				e	7. Title and Amour Securities Underly Derivative Security (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5) Beneficia Owned Following Reported Transacti		e Owners s Form: Ily Direct (I or Indire g (I) (Instr	Beneficial Ownership ct (Instr. 4)		

Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #341.

(D)

Date

Exercisable

Expiration Date

Title

2. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #283.

## Remarks:

/s/ Michelle Mortensen on behalf of Michael J. Rossi \*\* Signature of Reporting Person

Amount

or Number

of Shares

08/05/2016

Date

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v

(A)

Code