SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Rossi Michael J</u>					2. Issuer Name and Ticker or Trading Symbol CALIFORNIA WATER SERVICE GROUP							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify			wner	
(Last) C/O CALIFOI	(Last) (First) (Middle) C/O CALIFORNIA WATER SERVICE GROUP				3. Date of Earliest Transaction (Month/Day/Year) 05/03/2016							vP, Continuous Improv			. ,	
1720 NORTH FIRST STREET				4. If Ame	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) SAN JOSE CA 95112											Form file	d by More	than One Reporti	ng Person		
(City)	(State)	(Zi	(Zip)													
		Та	ble I - Non-	Derivative S	ecurities Acq	uired, I	Disp	osed of,	or E	Benefi	cially Ow	/ned				
Date				2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				nd 5) Securities Beneficial Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			(Instr. 4)	
Common Stock 05/				05/03/2016		F		25(1)		D	\$28.51	1 31,430		D		
Common Stock 05/0				05/04/2016		F		27(2)		D	\$28.99	31,403		D		
					curities Acquir Is, warrants, c							ed				
1. Title of Derivative Security (Instr. 3) Derivative Security Conversion Derivative Security Derivative Security		Code (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of	Expiration Date S (Month/Day/Year) D			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned	e Ownership 5 Form:	11. Nature of Indirect Beneficial Ownership (Instr. 4)				

Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #341.

(D)

Date

Exercisable

Expiration Date

Title

2. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #283.

Remarks:

/s/ Michelle Mortensen on behalf of Michael J. Rossi ** Signature of Reporting Person

Amount

or Number

of Shares

05/05/2016

Date

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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(A)

Code