SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Smegal Thomas F III					2. Issuer Name and Ticker or Trading Symbol <u>CALIFORNIA WATER SERVICE GROUP</u> [CWT]							1 (5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) CALIFORNIA WATER SERVICE COMPANY						3. Date of Earliest Transaction (Month/Day/Year) 11/04/2015								X Officer (give title Other (specify below) VP, CFO & Treasurer				
1720 NORTH FIRST STREET					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) SAN JOSE CA 95112													Form file	d by More	than One Repo	ting Person		
(City)	(State)	(Zi	ip)															
		Та	able I - Noi	n-Deriv	ative S	ecurities Acq	uired, I	Disp	osed of,	or B	Benefi	cially Ow	ned					
Date					action Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a			() or , 4 and 5)	and 5) Securities Beneficially Following F		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership		
							Code	v	Amount		(A) or (D)	Price	rice Transaction(s) (Instr. 3 and 4)			(Instr. 4)		
Common Stock 11/0					4/2015		F		27 ⁽¹⁾		D	\$22.72	42,080		D			
Common Stock 11/0					5/2015		F		31 ⁽²⁾		D	\$22.72	42,049		D			
Common Stock 11/0					6/2015		F		37 ⁽³⁾		D	\$21.85	42,012		D			
						urities Acquii s, warrants, c							ed					
1. Title of Derivative			ate, Tra	ansaction	5. Number of Derivative				8. Price of Derivative derivative			11. Nature of Indirect						

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security					Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)		Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	Securities Beneficially Owned Following Reported	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	- Transaction(s) (Instr. 4)			

Explanation of Responses:

1. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #284.

2. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #269.

3. Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #239.

Remarks:

/s/ Michelle Mortensen on behalf of Thomas F. Smegal III <u>11/06/2015</u>

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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